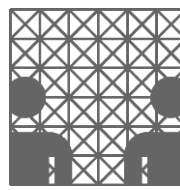


# Model-based Linear Deformable Object Manipulation

by Yunlong Wang – 12.01.2023

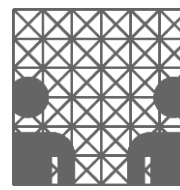




# Outline

1. Introduction
2. Motivation
3. Methodology
4. Summary
5. Appendix





**Robotics: Science and Systems 2019**  
Freiburg im Breisgau, June 22-26, 2019

# Learning Robotic Manipulation through Visual Planning and Acting

Angelina Wang\*, Thanard Kurutach\*, Kara Liu\*, Pieter Abbeel\* and Aviv Tamar†

\* UC Berkeley, EECS Department

† Technion, Department of Electrical Engineering

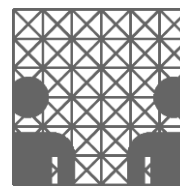
## Self-Supervised Learning of State Estimation for Manipulating Deformable Linear Objects

Mengyuan Yan<sup>1</sup>, Yilin Zhu<sup>1</sup>, Ning Jin<sup>2</sup>, Jeannette Bohg<sup>1</sup>

<sup>1</sup>Mengyuan Yan, Yilin Zhu, and Jeannette Bohg are with School of Engineering, Stanford University. {mengyuan, ylzhu, bohg}@stanford.edu

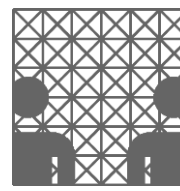
<sup>2</sup>Ning Jin is with Calico Labs. This research is done during Ning's PhD at Stanford University. jennyjin@calicolabs.com

**Both are independent study!!!**



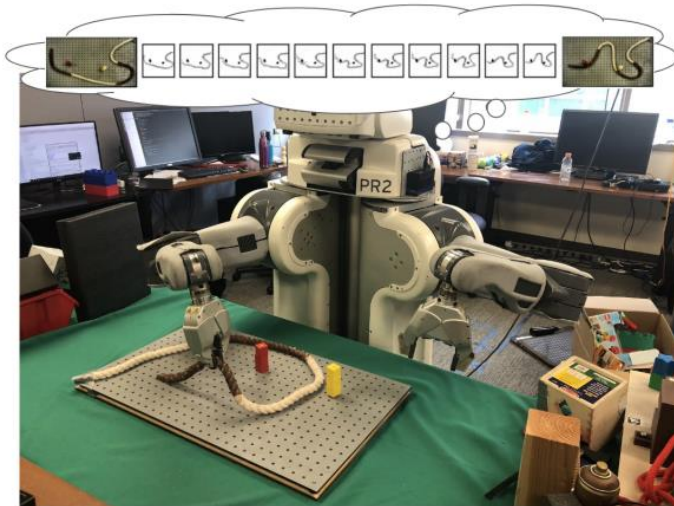
# 1 Introduction





# What is linear deformable object manipulation?

E.g. rope manipulation.

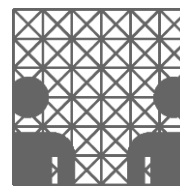


A. Wang et.al 2019



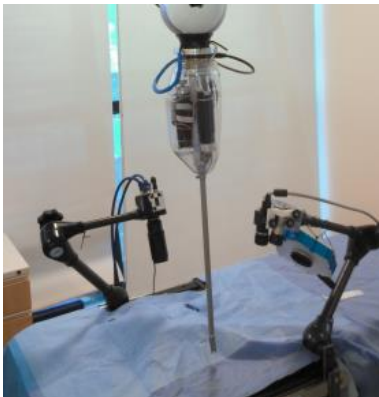
M. Yan et.al 2020

“Move the rope from current state the goal state”



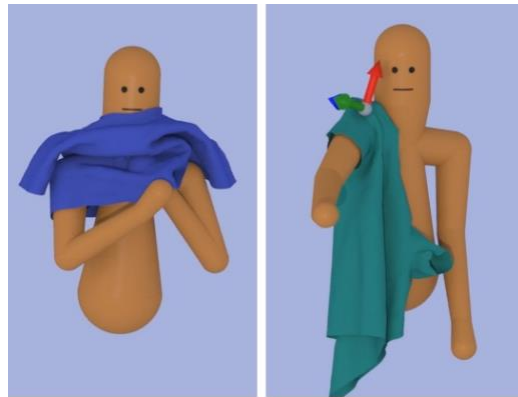
# Some applications for deformable object manipulation:

robotic surgery



S. Leonard 2014

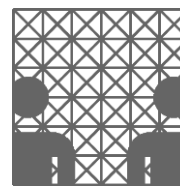
assistive dressing



A. Clegg 2018

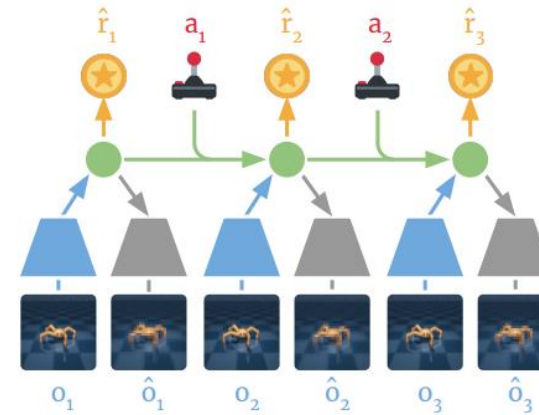
folding clothes



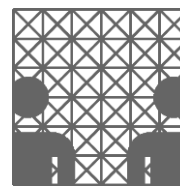


# What is **Model-based Approach**?

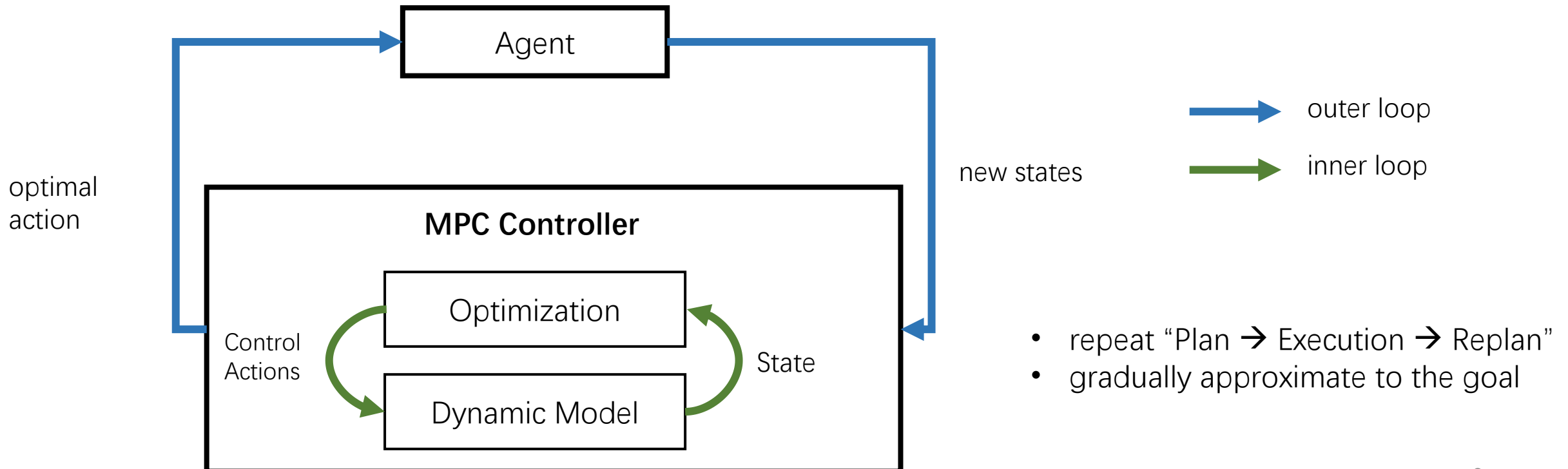
Learn a model of the environment, i.e., dynamic model, such as Dreamer(D. Hafner 2019)



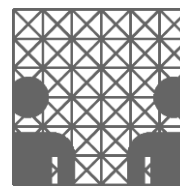
D. Hafner 2019



# What is Model Predictive Control?

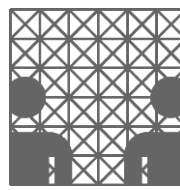






# 2 Motivation





## Why use the Model-based method?

model-free:

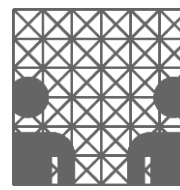
- has black-box policy, it is **hard to interpret**.
- **can not look ahead** over time horizon.

## Why use learnable method to learn the model:

Deformable objects have a **high-dimensional state space** → **learnable method**

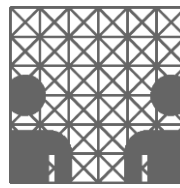
## Why use Model Predictive Control(MPC)?

- The deformable object is **hard to manipulate**, i.e., the actual action result could be differed from plan.  
→ use **MPC** to gradually **approximate** to the goal.

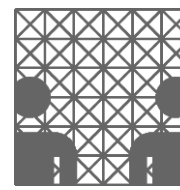


# 3 Methodology





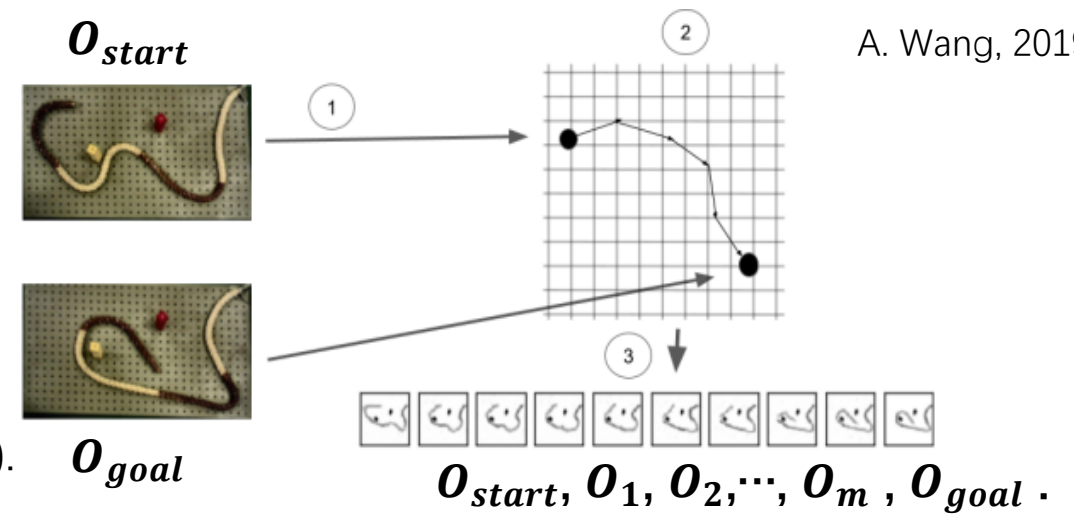
# 3.1 Learning Robotic Manipulation through Visual Planning and Acting (A. Wang, 2019)



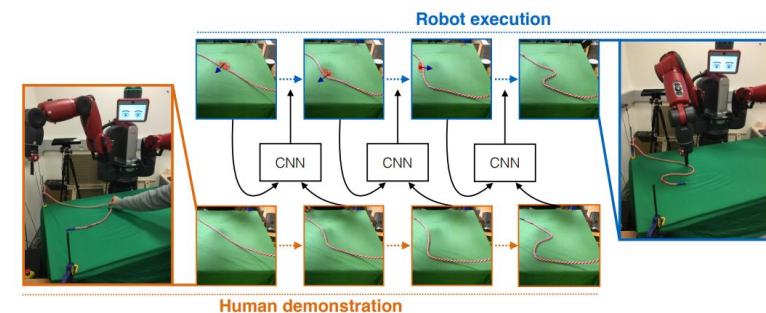
# Visual planning and Acting(VPA)

1) **Plan:** given a pair,  $O_{start}, O_{goal}$ , use the CIGAN model  $M_{CIGAN}$  to generate a planned sequence of observations  $O_{start}, O_1, \dots, O_m, O_{goal}$ .

- ① Encoding the  $O_{start}$  and  $O_{goal}$  to latent space.
- ② Using  $A^*$  search to find feasible path in latent space.
- ③ Decoding the latent path to sequence of images(Visual plan).

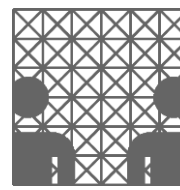


- 2) **Act:** If the length of the plan  $m$  is zero, take an action  $u$  to reach the goal  $u = M_{IM}(O_{start}, O_{goal})$ , then stop. Else:
- 3) Take an action  $u$  to reach the first observation in the plan  $u = M_{IM}(O_{start}, O_1)$  and take a new observation of the current system state  $O_{new}$ .

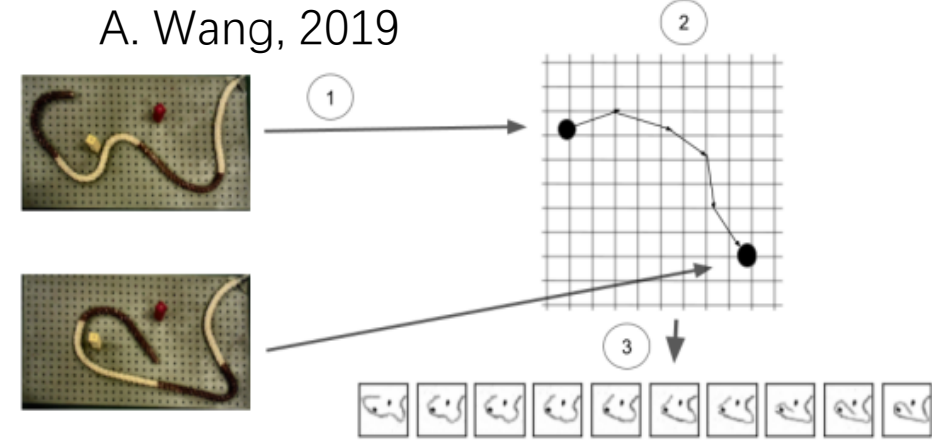


An inverse dynamics model(A. Nair, 2017) by supervised learning

- 4) **Replan:** update  $O_{start}$  to be the current observation  $O_{new}$ , and go back to step 1.



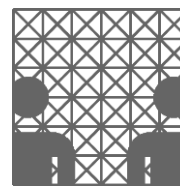
A. Wang, 2019



# Visual planning and Acting

For planning at ②, there are some interesting question need to be considered:

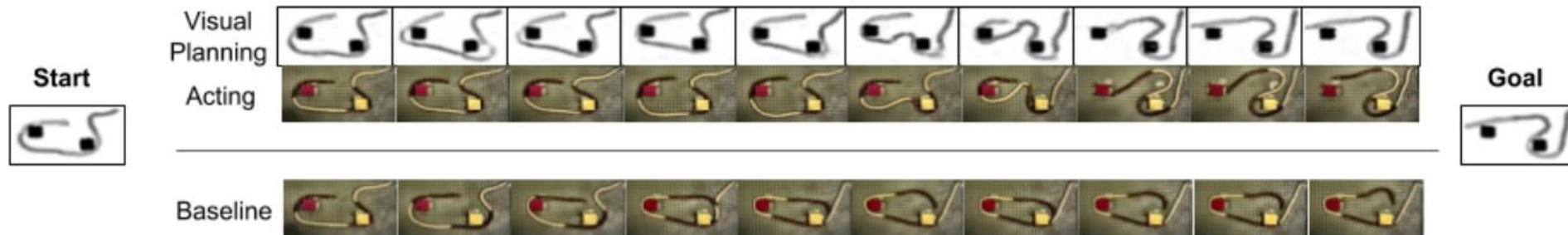
- **why directly searching in the latent space can generate plausible result?**
  - Most of time, the latent space is chaos and implicit, therefore searching is meaningless.
  - But, because of the **special design of InfoGAN**(X. Chen et al. 2016), the **latent space is interpretable**. Hence, searching in the latent space is meaningful.
- **why using A\* search?**
  - In the **Causal InfoGAN**(T. Kurutach 2018), **A simple linear interpolation** was used for latent space searching, and **can't not produce realistic result** by rope manipulation, e.g. when in the presence of obstacles, the visual plan tended to go through rather than around, which is unrealistic.
    - ➔ the A\* search is applied, use Euclidean distance as a heuristic function



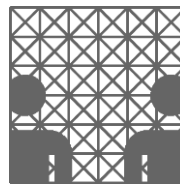
# Experiment setup and result

Manipulate the rope on PR2 robot.

A. Wang, 2019

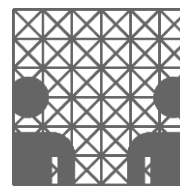


**Baseline** denote directly apply inverse model between  $O_{start}$  and  $O_{goal}$ . And it show it can not reach the goal.

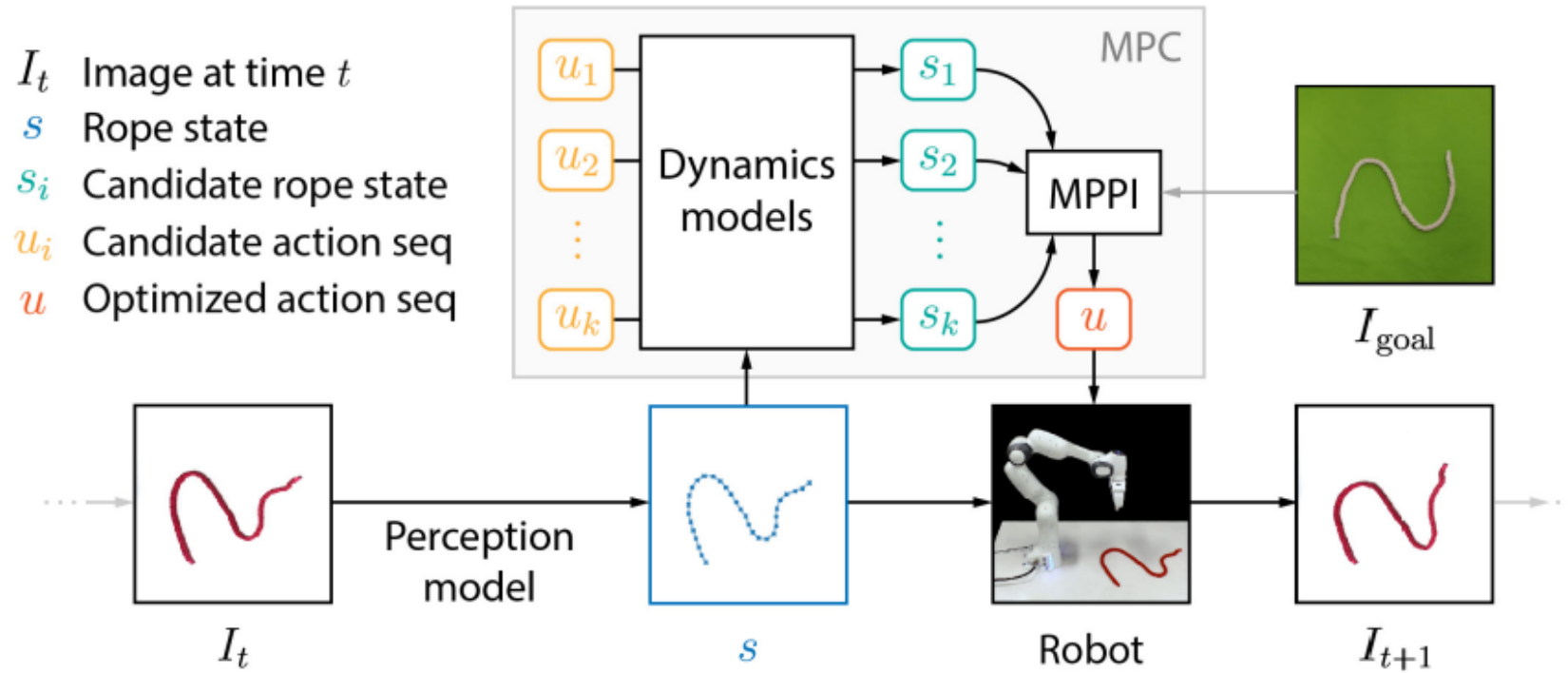


## 3.2 Self-Supervised Learning of State Estimation for Manipulating Deformable Linear Objects (M. Yan, 2020)

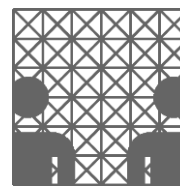




## Overview of system



M. Yan, 2020



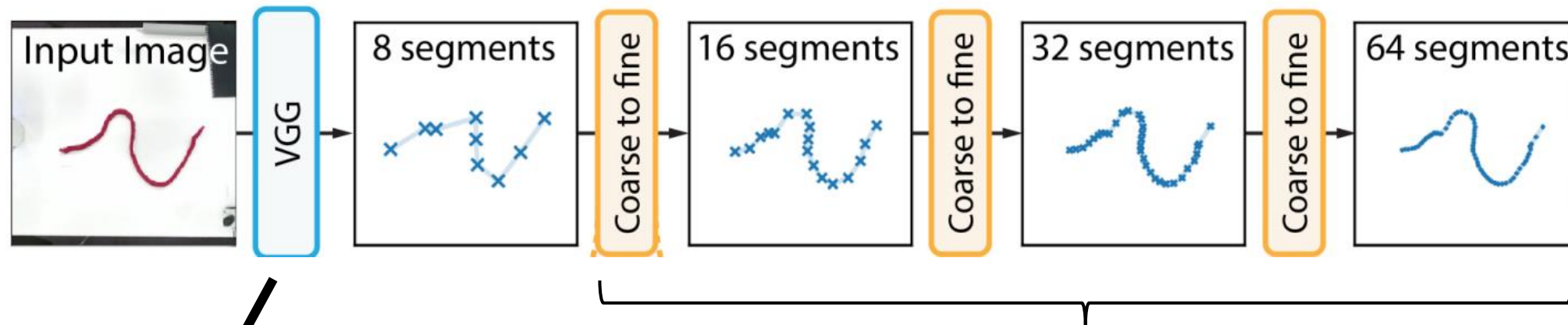
## Perception Model: Coarse-to-fine State estimation

Input: RGB Image

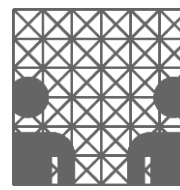
Output: 64 sequence of segments, i.e. 65 points

First train on rendered image, then fine-tuning on real image.

M. Yan et.al 2020

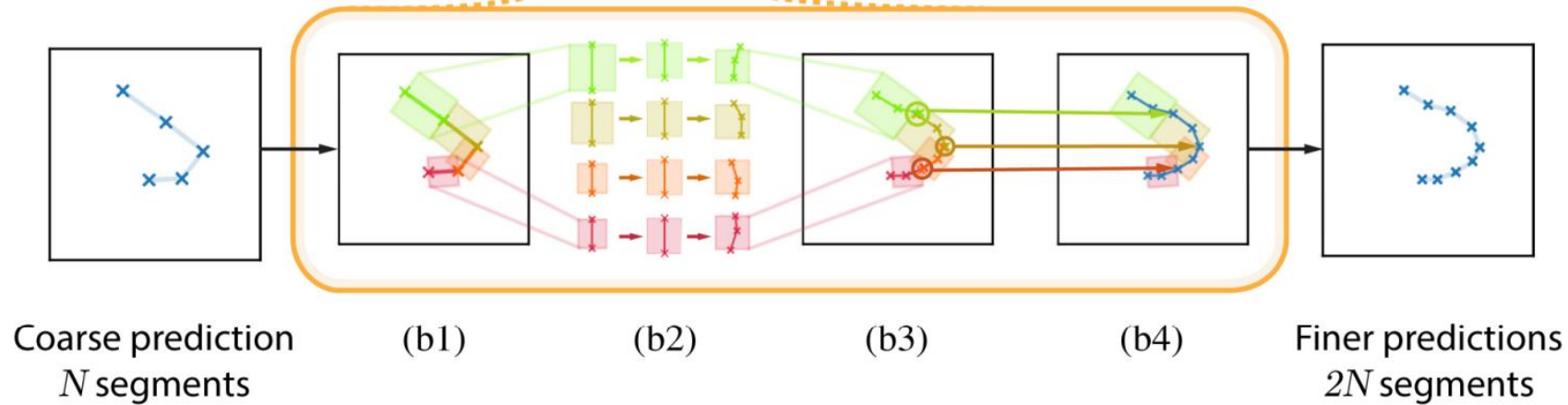


using VGG to estimate  
8 straight segments.



# Perception Model: Coarse-to-fine block

M. Yan et.al 2020

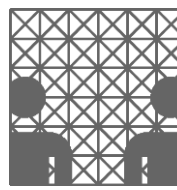


(b1) **Extract square boxes** that defined by the previously estimated segments.

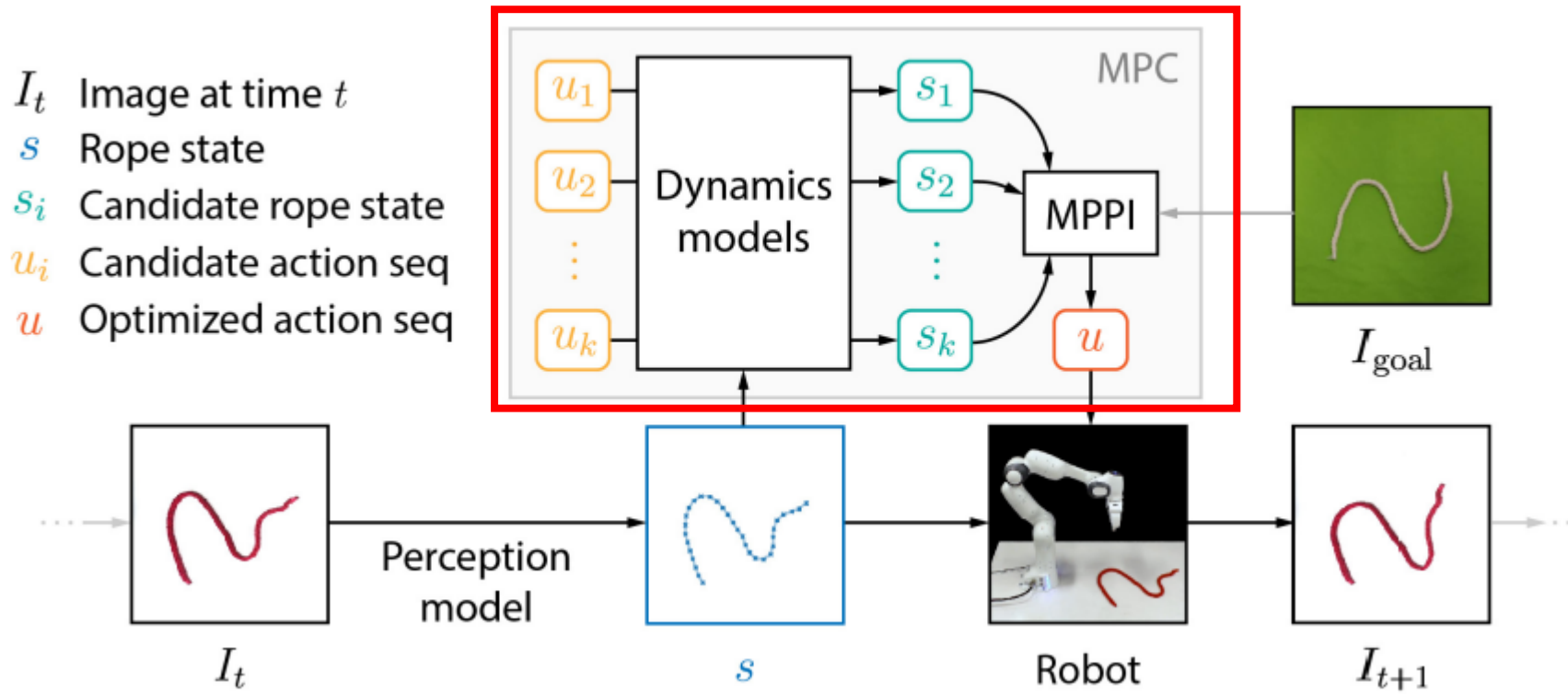
(b2) The boxes are used to extract regions from the VGG feature maps, and fed into a MLP to **estimate the left endpoint, middle point, and right endpoint** in each square region.

(b3) The estimated points are **concatenated**

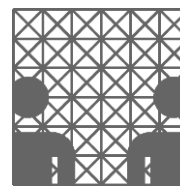
(b4) endpoints from neighboring regions are **averaged** to obtain a higher resolution estimate, based on twice the amount of segments that more closely model the shape of the rope.



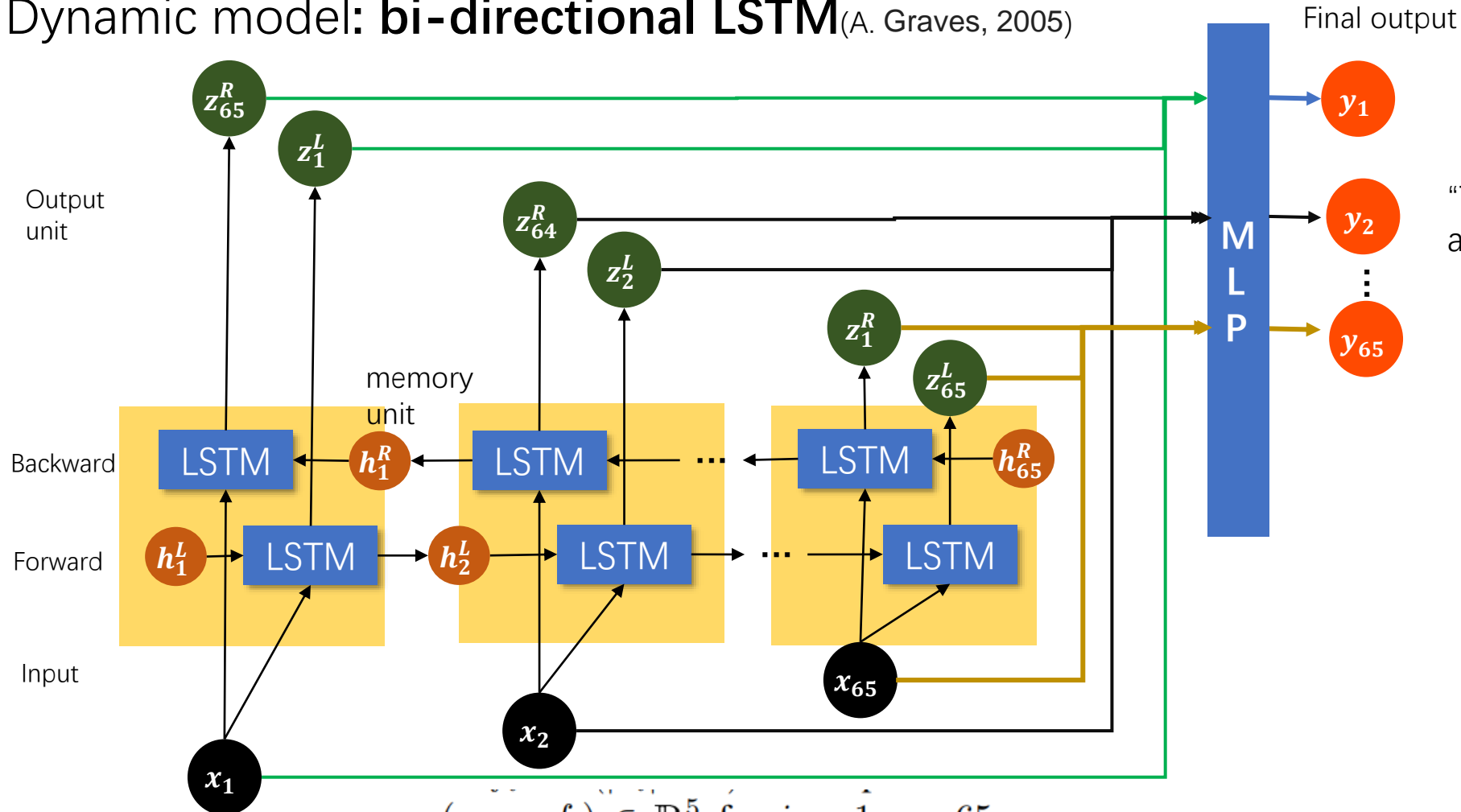
# Overview of system



M. Yan et.al 2020



# Dynamic model: bi-directional LSTM (A. Graves, 2005)

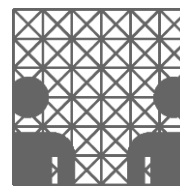


“The position of  $i$ th state point after the action is performed”

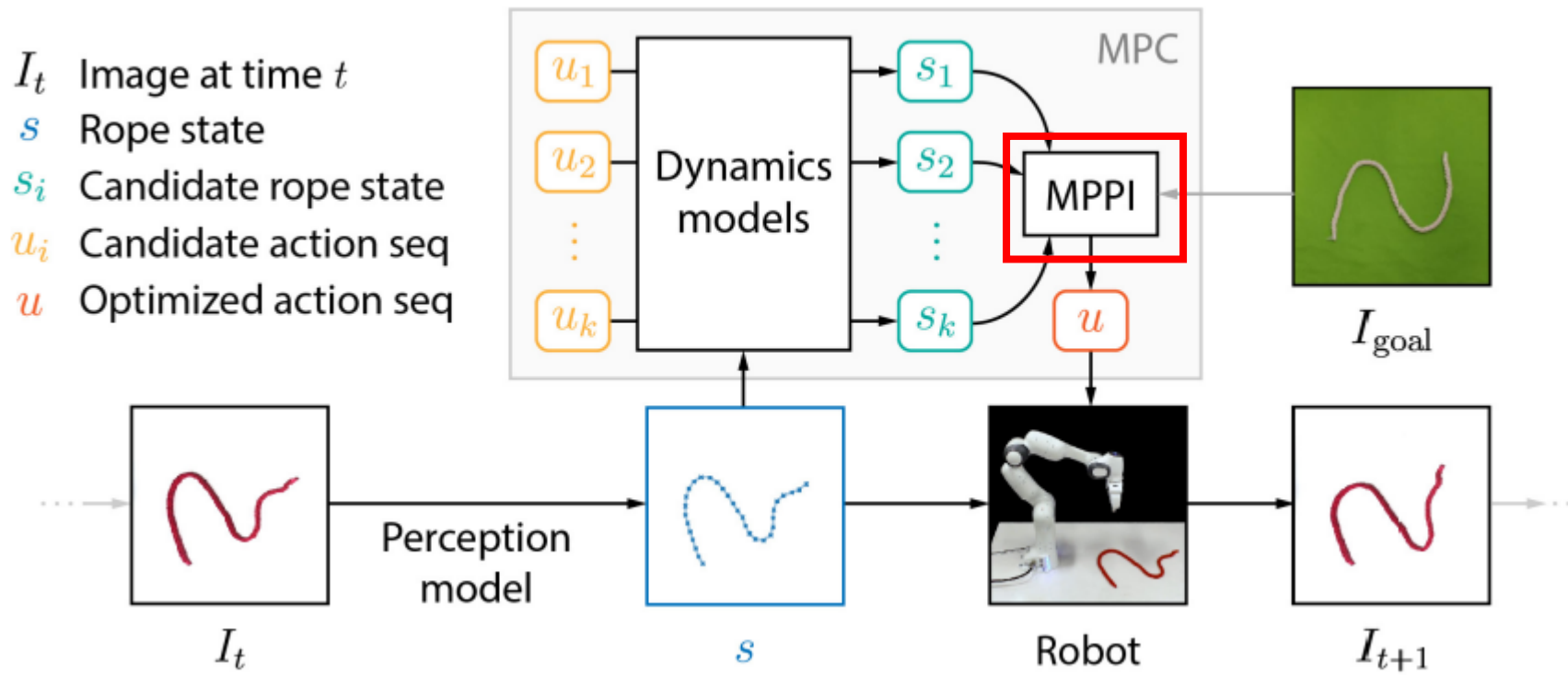
$$x_i = (p_i, a_i, f_i) \in \mathbb{R}^5 \text{ for } i = 1, \dots, 65.$$

action  $a_i \in \mathbb{R}^2$    position  $p_i \in \mathbb{R}^2$    indicator  $f_i = \mathbb{1}(|a_i| > 0)$ .

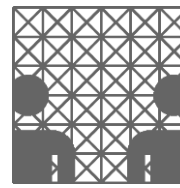
M. Yan, 2020



# Overview of system



M. Yan et.al 2020

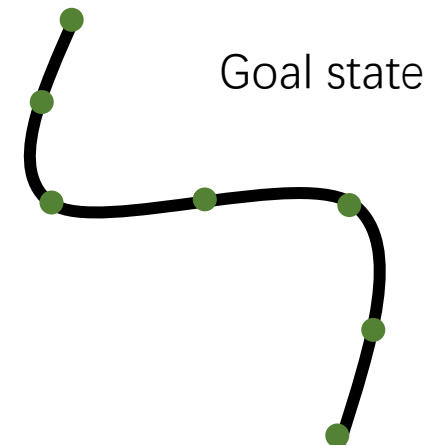
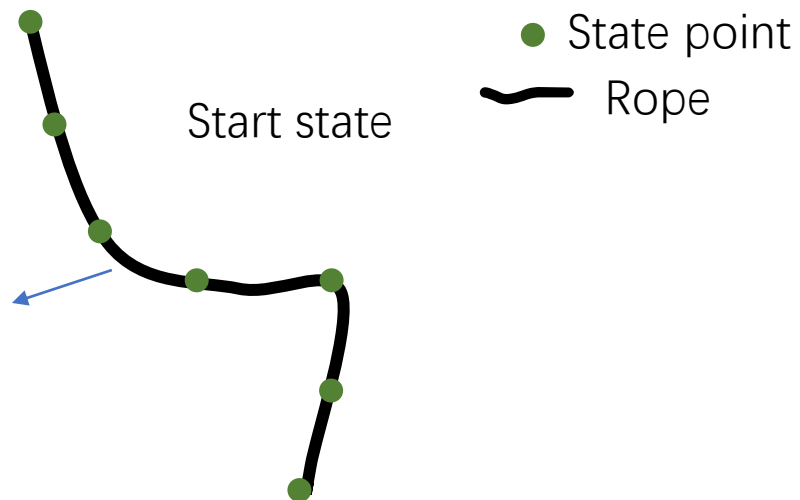


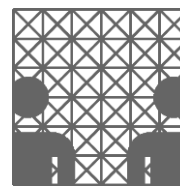
# Sampling-based **M**odel **P**redictive **P**ath **I**ntegral control (G. Williams, 2016)

Action planning are formulated as two step:

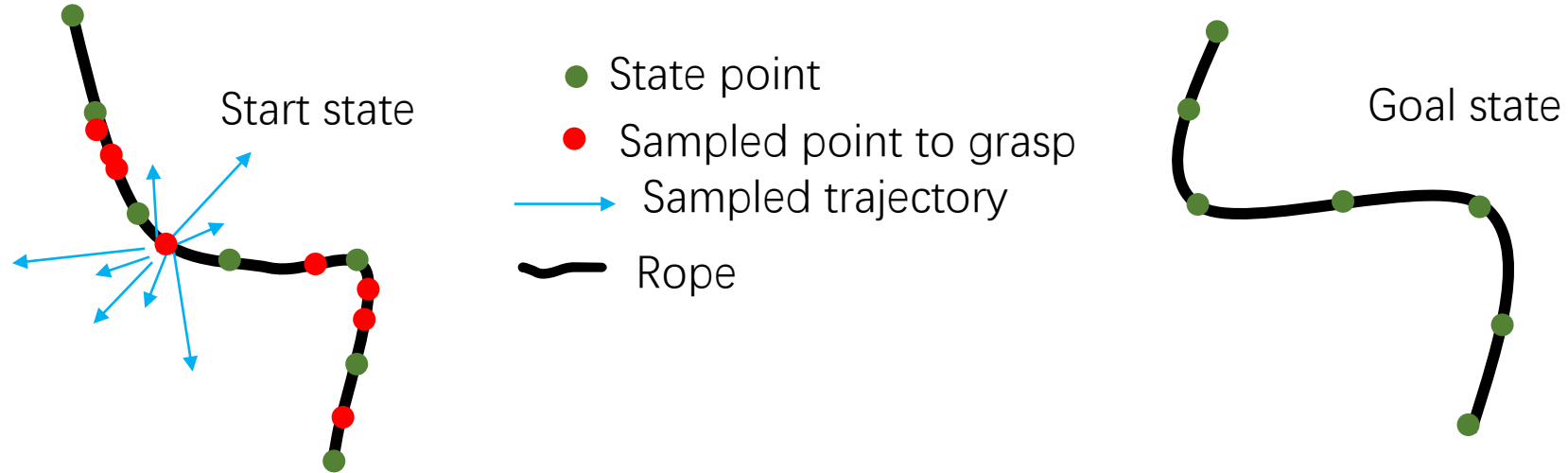
1. Find the point to grasp the rope.
2. Move the gripper and the rope being grasped in 2D.

For example:





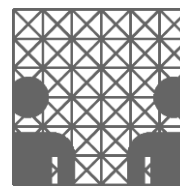
Cont.



The pipeline of the MPPI control:

- 1 sampling on the rope to get candidate grasp points :  $\mathbf{Points}_{grasp}$ ;
- 2 for each point in  $\mathbf{Points}_{grasp}$ :
  - 3 sampling  $n$  candidate action sequences;
  - 4 for each action sequence:
    - 5  $\mathbf{T}_{trajectory} = \text{Dynamic\_model}(\text{actions}, \text{states})$
    - 5 cost for each state in  $\mathbf{T}_{trajectory} = \text{distance to goal state}$
  - 7 optimal trajectory per grasping points = **cost-weighted average** of all sampled trajectories
- 8 optimal grasp point = the one with lowest cost of its optimal trajectory





# Experiment setup and result

## A. Perception networks comparison

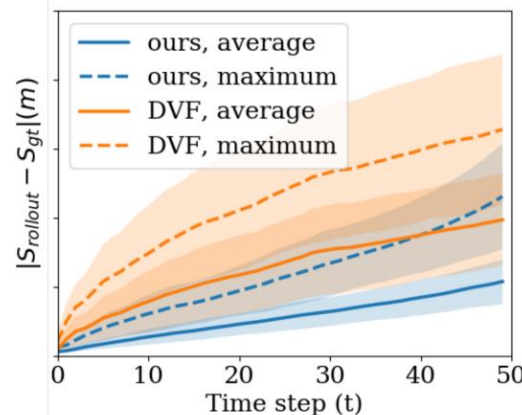
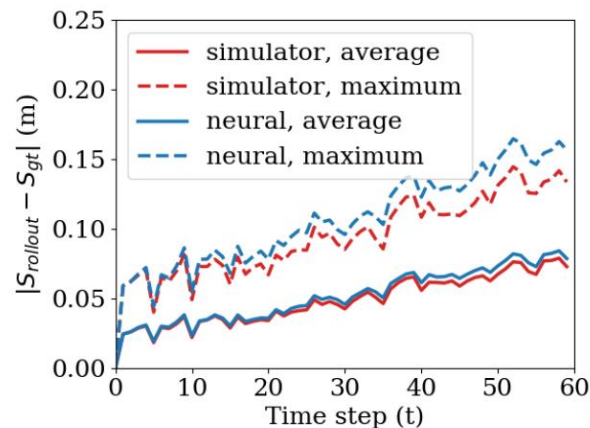
M. Yan et.al 2020

	Train	Test
Baseline: Direct Estimate	0.0104	0.0354
Ours: Coarse-to-Fine	0.0177	<b>0.0231</b>

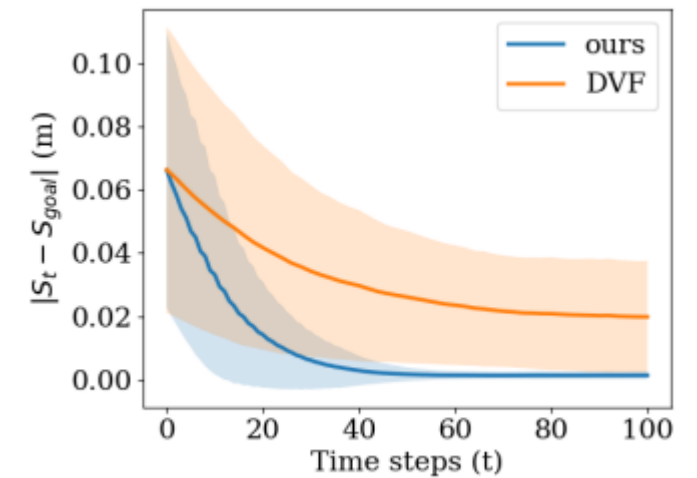
Mean Square Error of the Euclidean distance

## B. Learning dynamics models

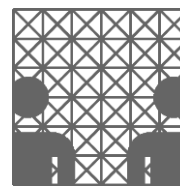
M. Yan et.al 2020



## C. Overall performance

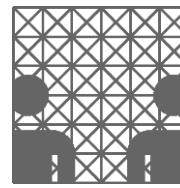


DVF: visual dynamics model(F. Ebert, 2018)

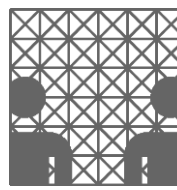


# 4 Summary





## 4.1 Summary for both paper

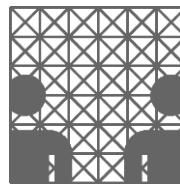


The first paper(A. Wang 2019):

- **Visual planning**
  - **Encoder:** image → interpretable latent space
  - **Searching:** search in latent space → feasible path
  - **Decoder:** path → sequence of images(Visual Plan)
- **Acting**
  - Learnable Inverse Dynamics Models (base on CNN): Visual plan → sequence of actions

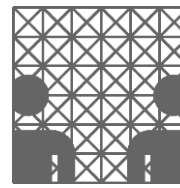
The Second paper(M. Yang 2020):

- **Rope state estimator:** self-supervised learning, produce explicit rope state
- **Model Predictive control**
  - **Dynamic model:**  $s_{t+1} = Dynamic(s_t, a_t)$
  - **Model Predictive Path Integral control:** combine dynamic model to produce optimal action

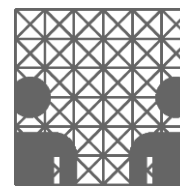


The core idea for both paper:

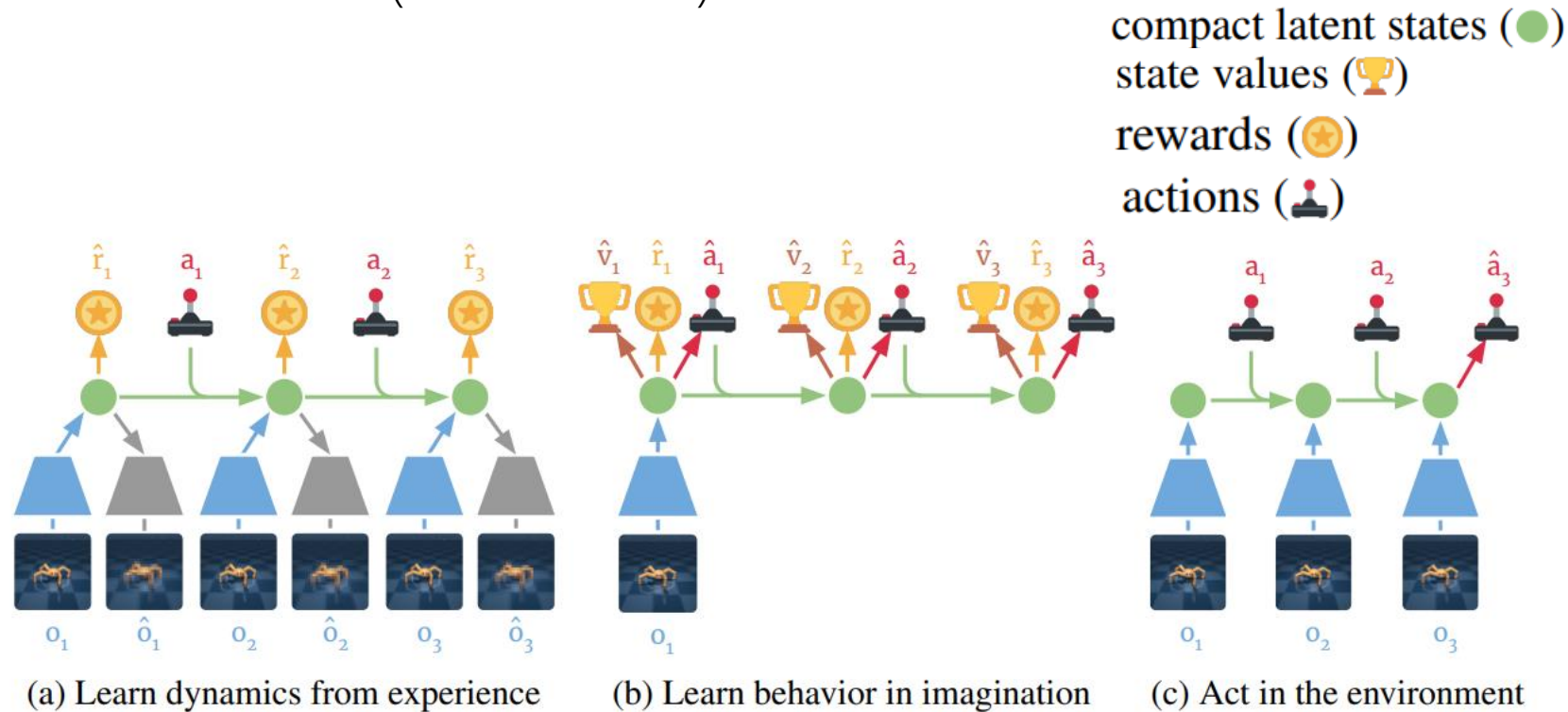
**How to extract an **interpretable** latent space, in which the search or optimization can generate meaningful results.**



## 4.2 Methodology comparison



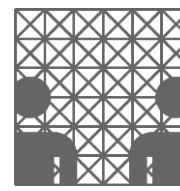
First, let us review the Dreamer (D. Hafner 2019)

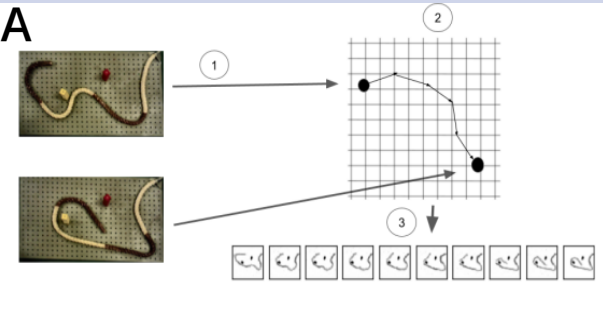
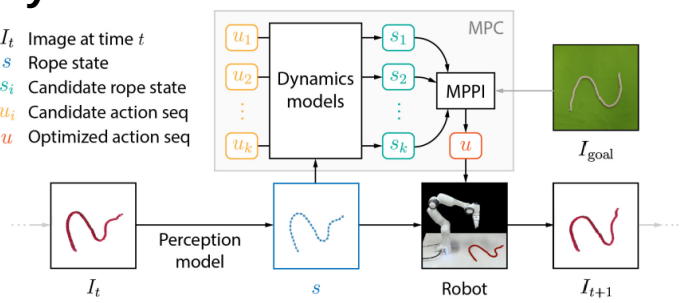
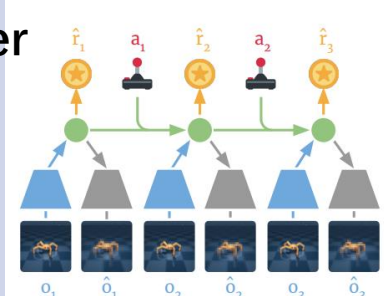


D. Hafner 2019

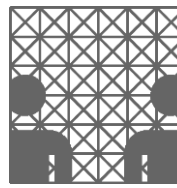
The Dreamer:

- Learn dynamic model in latent space
- Learn a RL policy to choose action



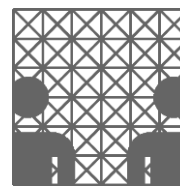
	State space	Planning method
<p><b>VPA</b></p> 	<p><b>Latent state space, interpretable</b></p>	<p><b>A* Search</b> in latent space, then use inverse model to generate action for visual plan</p>
<p><b>a systematic model</b></p>  <p> <math>I_t</math> Image at time <math>t</math>  <math>s</math> Rope state  <math>s_i</math> Candidate rope state  <math>u_i</math> Candidate action seq  <math>u</math> Optimized action seq     </p>	<p><b>Explicit state space, interpretable</b></p>	<p>Use <b>MPC</b> to choose action from explicit rope state.</p>
<p><b>Dreamer</b></p> 	<p><b>Latent state space, uninterpretable</b></p>	<p>Learn a <b>policy</b> to choose action from latent space.</p>



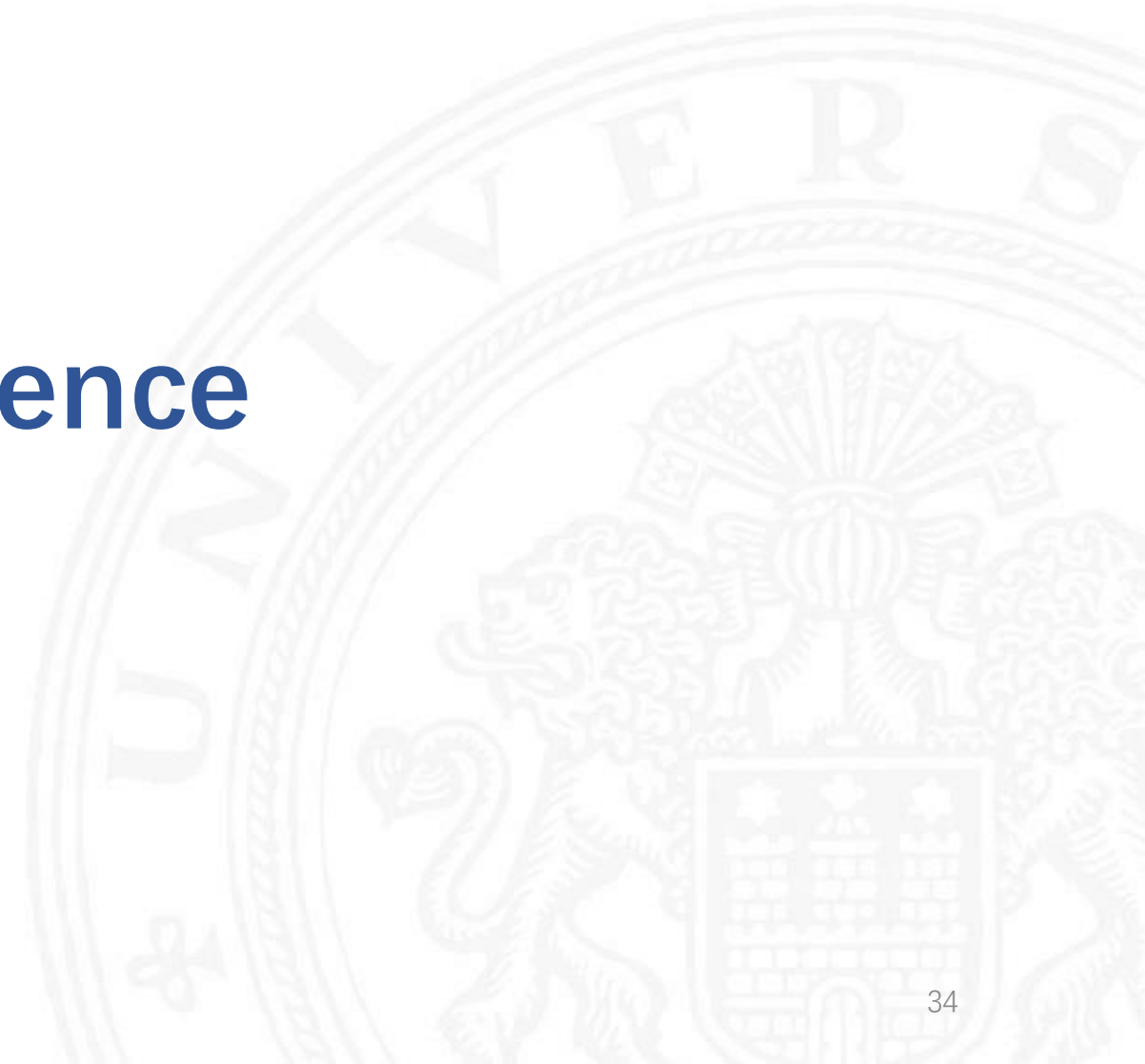


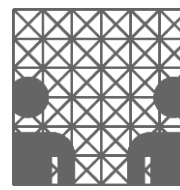
## Some Inspirations from my opinion

1. For plan/control by given different of state space, we can:
  - **Uninterpretable** latent space → Learn a **policy**
  - **interpretable** latent space → **searching** algorithm
  - **Explicit** state space → **Modal Predictive Control**, also when state space is small, lots of other method can be applied.
2. **GMM+EM** is a good idea for self-supervised objective when the task is two class segmentation.

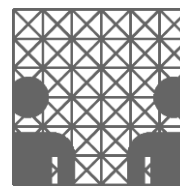


# 5 Reference



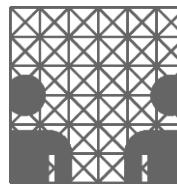


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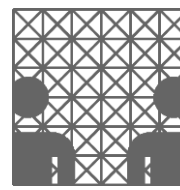


# 6 Appendix





# 6.1 Learning Robotic Manipulation through Visual Planning and Acting

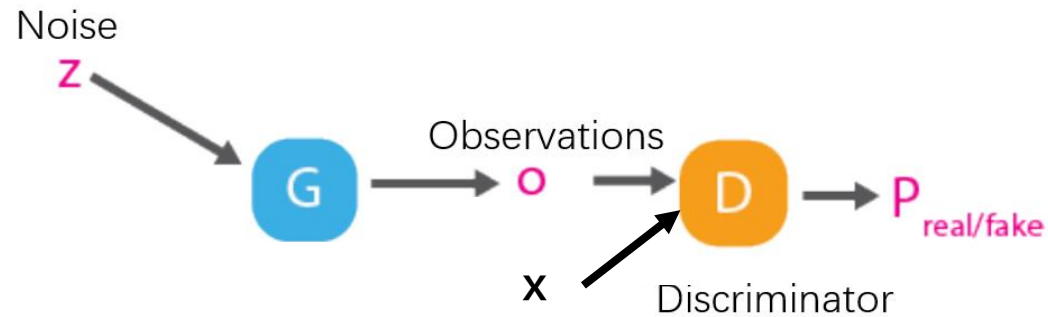


# GAN (Goodfellow I, 2020)

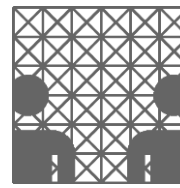
“The real image is real”

“The fake image is fake”

$$\min_G \max_D V(D, G) = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})] + \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))]$$

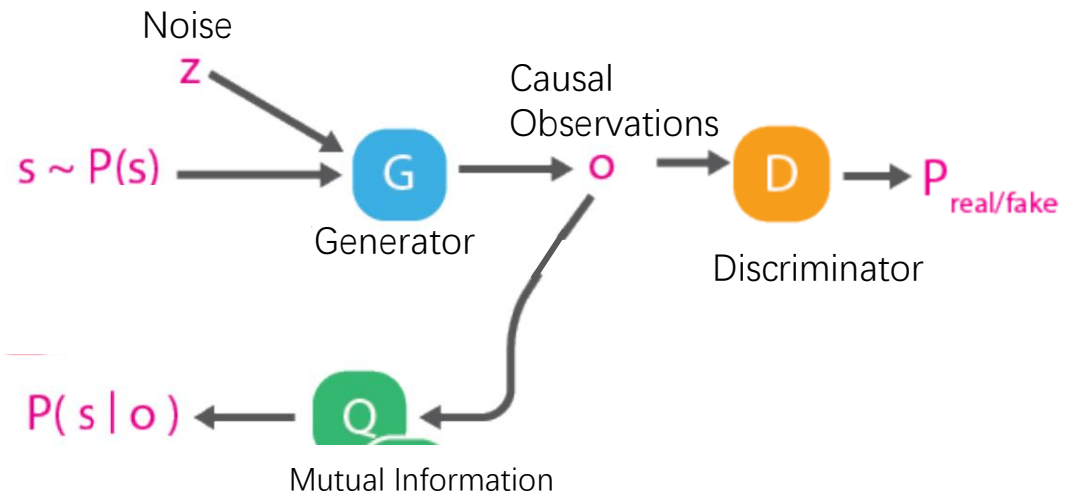


A. Wang et.al 2019

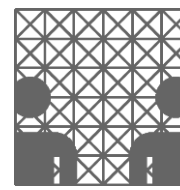


# InfoGAN (X. Chen 2016)

$$\min_G \max_D V(G, D) - \lambda I(s; G(z, s))$$



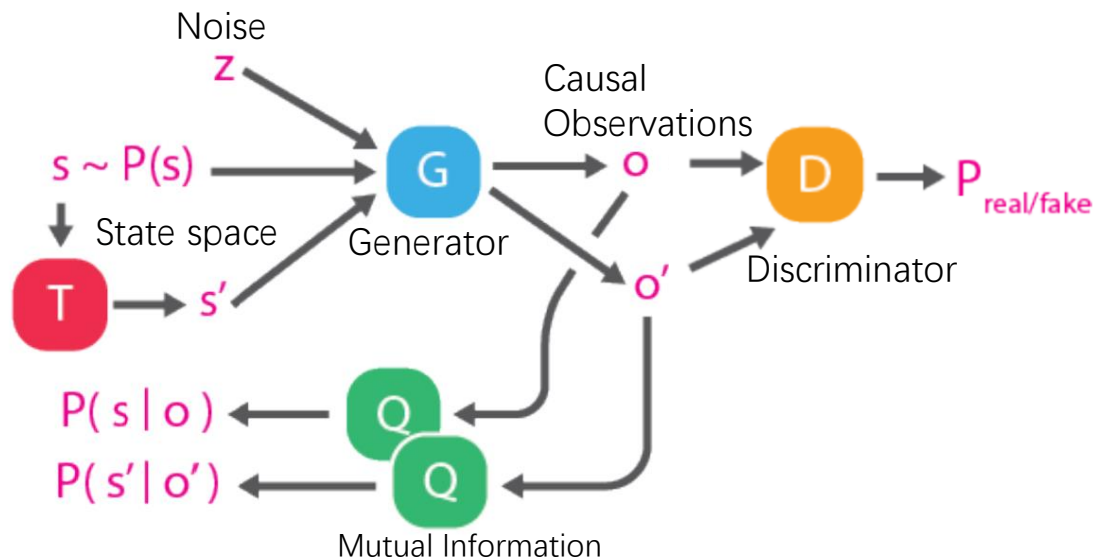
- The input have two parts: noise  $z$  and structured component  $s$
- Mutual information is used



# Causal InfoGAN (T. Kurutach, 2018)

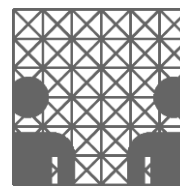
$$\begin{aligned}
 & \min_{P(s'|s), G} \max_D V(G, D) - \lambda I(s, s'; o, o'), \\
 & \text{s.t. } o, o' \sim G(z, s, s'), s \sim P(s), s' \sim P(s'|s)
 \end{aligned}$$

- The CIGAN model learns to generate a pair of sequential observations  $(o, o')$  that are similar to sequential observations in the data.
- $S'$ : modelled as a local perturbation of the first state  $s$
- **additional learning of dynamics** in latent space



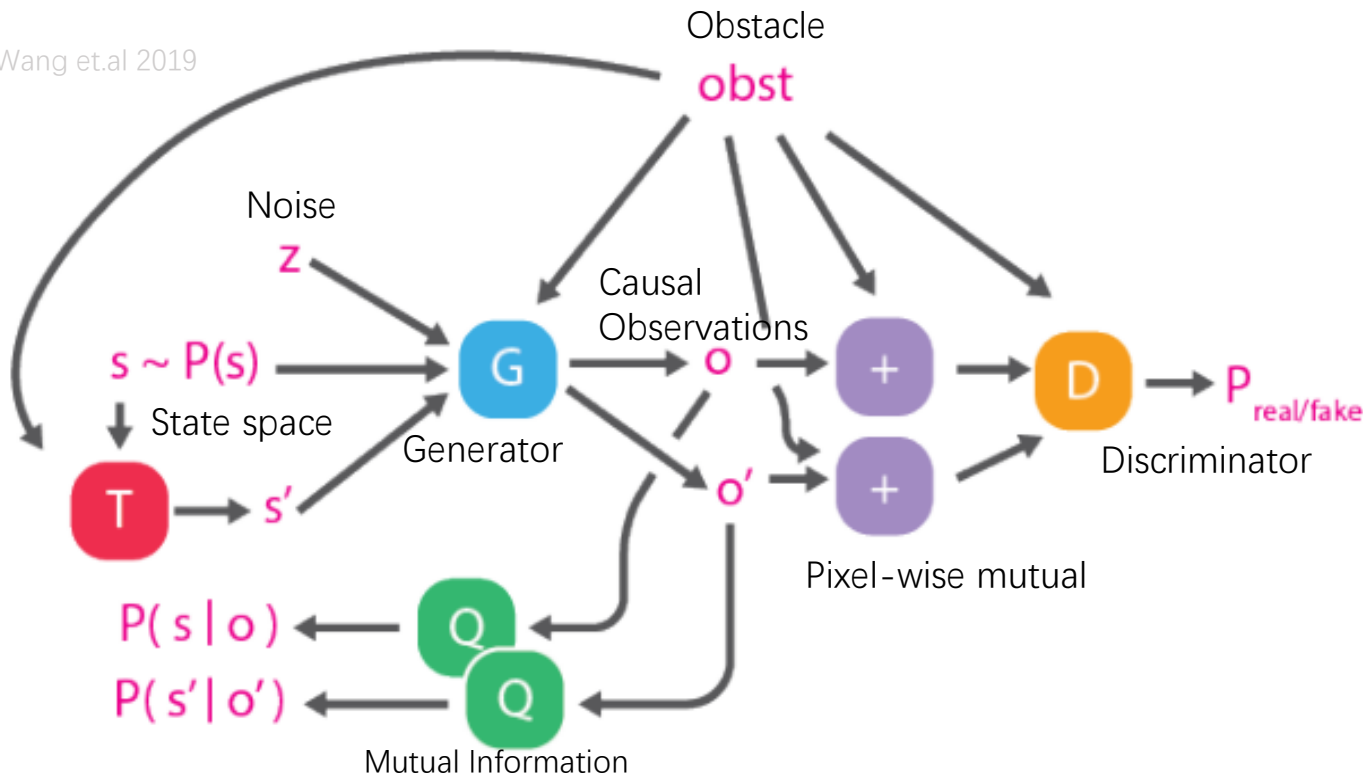
**For encoding**, Kurutach et al. [21] used an optimization based approach, searching for a latent vector that minimizes the absolute pixel difference with the desired observation. **For the planning**, the key idea in [21] is that due to the local transition structure of  $P(s'|s)$ , linear interpolation between  $s_{start}$  and  $s_{goal}$  results in a feasible plan. In this sense, CIGAN learns a representation that is *compatible* with the planning algorithm. **For decoding**, the CIGAN generator can be used to sequentially produce pairs of observations from the trajectory.



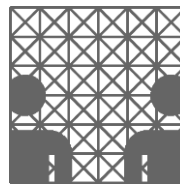


# Context Conditional Causal InfoGAN (A. Wang 2019)

A. Wang et.al 2019

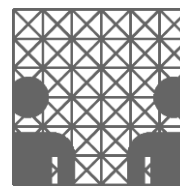


1. Encoding the  $o_{start}$  and  $o_{goal}$  to latent space  $s$  and  $s'$ .
2. A\* Search in the latent space  $P(s'|s)$  to get plausible state path from  $o_{start}$  to  $o_{goal}$ .
3. Use generator to generate the rope image from state path.



## 6.2 Self-Supervised Learning of State Estimation for Manipulating Deformable Linear Objects





# Perception Model: self-supervising learning objective

M. Yan et.al 2020

Firstly, introduce the gaussian mixture model(GMM) with expectation maximization(EM):

## E-step:

Membership weight of pixel with index  $i$  that belong to gaussian distribution  $k$

$$w_{ik} = \frac{\alpha_k p_k(x_i | \mu_k, \Sigma_k)}{\sum_{m=1}^K \alpha_m p_m(x_i | \mu_m, \Sigma_m)} \quad (1)$$

component weights  $\alpha_k$ , RGB value  $x_i$ , means  $\mu_k$ , covariance matrices  $\Sigma_k$

$k$ : index of gaussian, in total as  $K$   
 $i$ : index of pixel

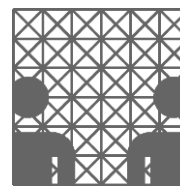
## M-step:

$$\alpha_k^{new} = \sum_{i=1}^N w_{ik} / N,$$

$$\mu_k^{new} = \sum_{i=1}^N w_{ik} x_i / \sum_{i=1}^N w_{ik}, \quad (2)$$

$$\Sigma_k^{new} = \sum_{i=1}^N w_{ik} (x_i - \mu_k^{new})(x_i - \mu_k^{new})^T / \sum_{i=1}^N w_{ik}.$$

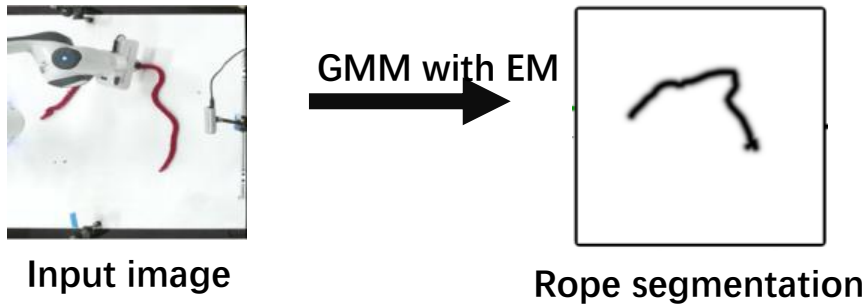
$N$ : total number of pixels



## Perception Model: **self-supervising learning objective**

M. Yan et.al 2020

Now, we have the ground-truth label as rope segmentation.



But, the output of our perception model is rope state, not rope segmentation.

Therefore, **How to calculate the loss between binary segmentation and rope state?**

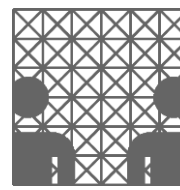
- Let membership weight linked with rope state, and here let we use  $P(u, v)$  denote the membership weights  $W_{ik}$  belong to the rope.
- That is, to propose a new  $P(u, v)$ :

$$P_j(u, v) = \exp(-d_j(u, v)^2 / \sigma^2)$$

$$P(u, v) = \max_j P_j(u, v)$$

$d_j(u, v)$ : denote the distance of pixel  $(u, v)$  to its closest points on rope segments  $j$ .

$\sigma$ : denote a learnable parameter that controls the width of the rendered segments.



# Perception Model: **self-supervising learning objective**

M. Yan et.al 2020

After the modified membership weights, the EM for GMM has been changed:

## Original EM for GMM

**E-step:**

$$w_{ik} = \frac{\alpha_k p_k(x_i | \mu_k, \Sigma_k)}{\sum_{m=1}^K \alpha_m p_m(x_i | \mu_m, \Sigma_m)}$$

**M-step:**

$$\alpha_k^{new} = \sum_{i=1}^N w_{ik} / N,$$

$$\mu_k^{new} = \sum_{i=1}^N w_{ik} x_i / \sum_{i=1}^N w_{ik},$$

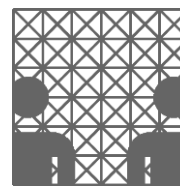
$$\Sigma_k^{new} = \sum_{i=1}^N w_{ik} (x_i - \mu_k^{new})(x_i - \mu_k^{new})^T / \sum_{i=1}^N w_{ik}.$$

## New EM procedure

$$P(u, v) = \max_j P_j(u, v)$$

$$P_j(u, v) = \exp(-d_j(u, v)^2 / \sigma^2)$$

$$\sum_{(u,v)} -\log [P(u, v)P(u, v)^{new} + (1 - P(u, v))(1 - P(u, v)^{new})].$$



## How to calculate the loss value from self-supervising learning objective:

Clustering in RGB space can be achieved with the **Expectation-Maximization (EM)** algorithm for **Gaussian Mixture Models (GMM)**. Given an initial estimate of GMM parameters  $\Theta$ , i.e., the component weights  $\alpha_k$ , means  $\mu_k$ , and covariance matrices  $\Sigma_k$ ,  $1 \leq k \leq K$ , the EM algorithm iterates between the E step, which updates the membership weights  $w_{ik}$  of data point  $x_i$  to cluster  $k$ , and the M step, which updates  $\Theta$ . In the E step, **membership weights** are updated as:

$$w_{ik} = \frac{\alpha_k p_k(x_i | \mu_k, \Sigma_k)}{\sum_{m=1}^K \alpha_m p_m(x_i | \mu_m, \Sigma_m)} \quad (1)$$

where  $p_k$  and  $p_m$  are multivariate Gaussian densities. In the M step, GMM parameters are updated as:

$$\begin{aligned} \alpha_k^{new} &= \sum_{i=1}^N w_{ik} / N, \\ \mu_k^{new} &= \sum_{i=1}^N w_{ik} x_i / \sum_{i=1}^N w_{ik}, \\ \Sigma_k^{new} &= \sum_{i=1}^N w_{ik} (x_i - \mu_k^{new})(x_i - \mu_k^{new})^T / \sum_{i=1}^N w_{ik}. \end{aligned} \quad (2)$$

We propose a differentiable renderer that links  $P(u, v)$  to the rope state. The rope state is a sequence of 64 segments. We individually render each segment with end-points  $p_j, p_{j+1}$  to get  $P_j(u, v)$ , and take the pixel-wise maximum,  $P(u, v) = \max_j P_j(u, v)$ . Rendering of one segment is defined as

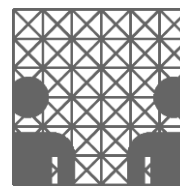
$$P_j(u, v) = \exp(-d_j(u, v)^2 / \sigma^2) \quad (3)$$

where  $d_j(u, v)$  is the distance of pixel  $(u, v)$  to its closest point on segment  $j$ .  $\sigma$  is a learnable parameter that controls the width of the rendered segments.

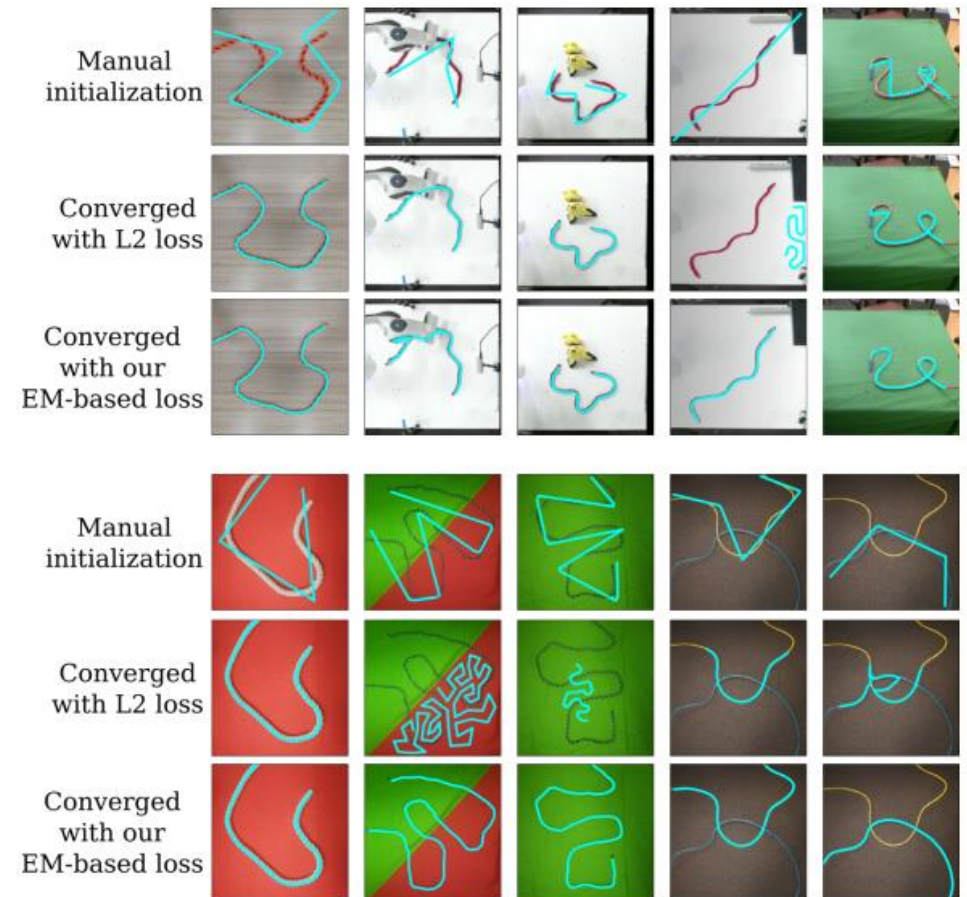
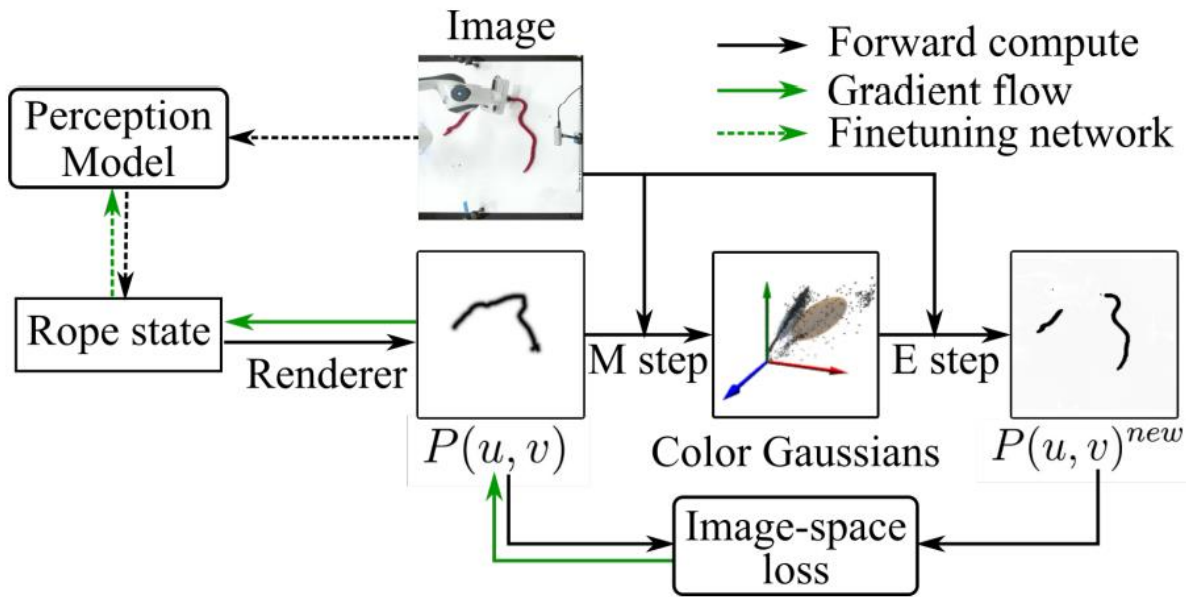
Given the initial state estimate from the neural network, we can compute  $P(u, v)$  based on Eq. 3. The M step can be applied easily to compute the parameters of the Gaussian clusters in RGB space. We also follow the E step in Eq. 1 to calculate  $P(u, v)^{new}$ . Then, instead of directly using  $P(u, v)^{new}$  for the next M step, we refine the estimated rope state by minimizing the distance between  $P(u, v)$  and  $P(u, v)^{new}$ , defined as

$$\sum_{(u, v)} -\log [P(u, v)P(u, v)^{new} + (1 - P(u, v))(1 - P(u, v)^{new})]. \quad (4)$$

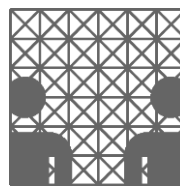




# Perception Model: self-supervising learning objective







## Why not use K-means?

□ K-means clustering has the following assumptions: (1) The variance of each cluster is roughly equal. (2) The number of data points in each cluster is roughly equal. (3) The distribution of each cluster is roughly isotropic (spherical). All of these assumptions can be broken in real images, e.g. when the rope or background has multiple colors, or when the variance of brightness of pixels is much larger than the variance of hue, due to lighting and shadows. On the other hand, our proposed loss is using GMM to cluster the RGB space, thus is more robust on real images.